ExpertTCP™ - TCP Throughput Testing

(per RFC-6349)

Outline

- Background
  - RFC-2544, Y.1564 (SAM), RFC-6349, SLA
- TCP Principles
  - TCP Throughput Inter-Relationships
  - Bandwidth * Delay Product
  - Bottleneck Bandwidth (BB)
  - TCP Congestion Window (TCP CWND) and TCP Receive Window (RWND)
  - Packet Loss Rate
  - Retransmission Schemes (Go Back N, Selective Repeat)
- GL Hardware Platforms

- TCP Throughput Measurement
  - Path MTU Discovery
  - Round Trip Time Measurement
  - Measure TCP Throughput
- Screenshot

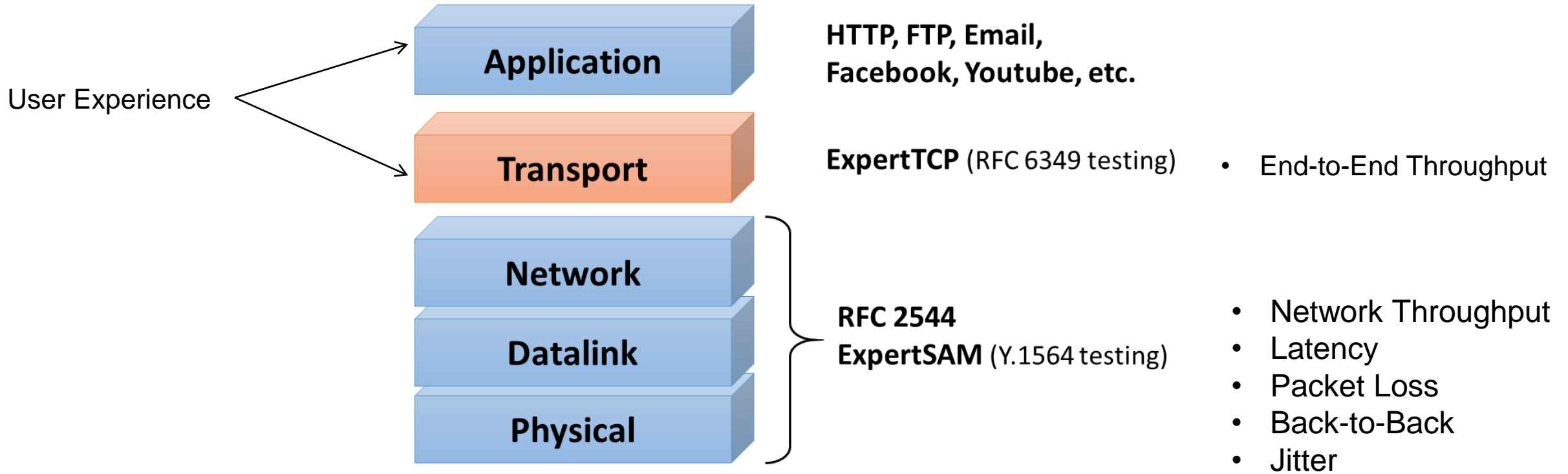# Performance Testing of Packet / Ethernet Connections and Networks

For Predictable Managed Networks

- RFC-2544

- ITU Y.1564 (SAM)

- RFC-6349 (TCP)

Service Level Agreements

from Network Providers, a must

User Experience,

Application-Network Sensitive,

TCP Tuning

SAM – Service Activation Methodology

TCP – Transmission Control Protocol

Packet / Ethernet Testing

User Experience

Application

HTTP, FTP, Email,
Facebook, Youtube, etc.

Transport

ExpertTCP (RFC 6349 testing)

- End-to-End Throughput

Network

Datalink

Physical

RFC 2544
ExpertSAM (Y.1564 testing)

- Network Throughput
- Latency
- Packet Loss
- Back-to-Back
- Jitter

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Typical SLA

Typically

Packet Loss

0.0005 % to 1%

Latency

36 to 75 ms

Availability

99% to 99.9%

EXHIBIT D – Service Level Agreements

1. Service Level Agreement Matrix

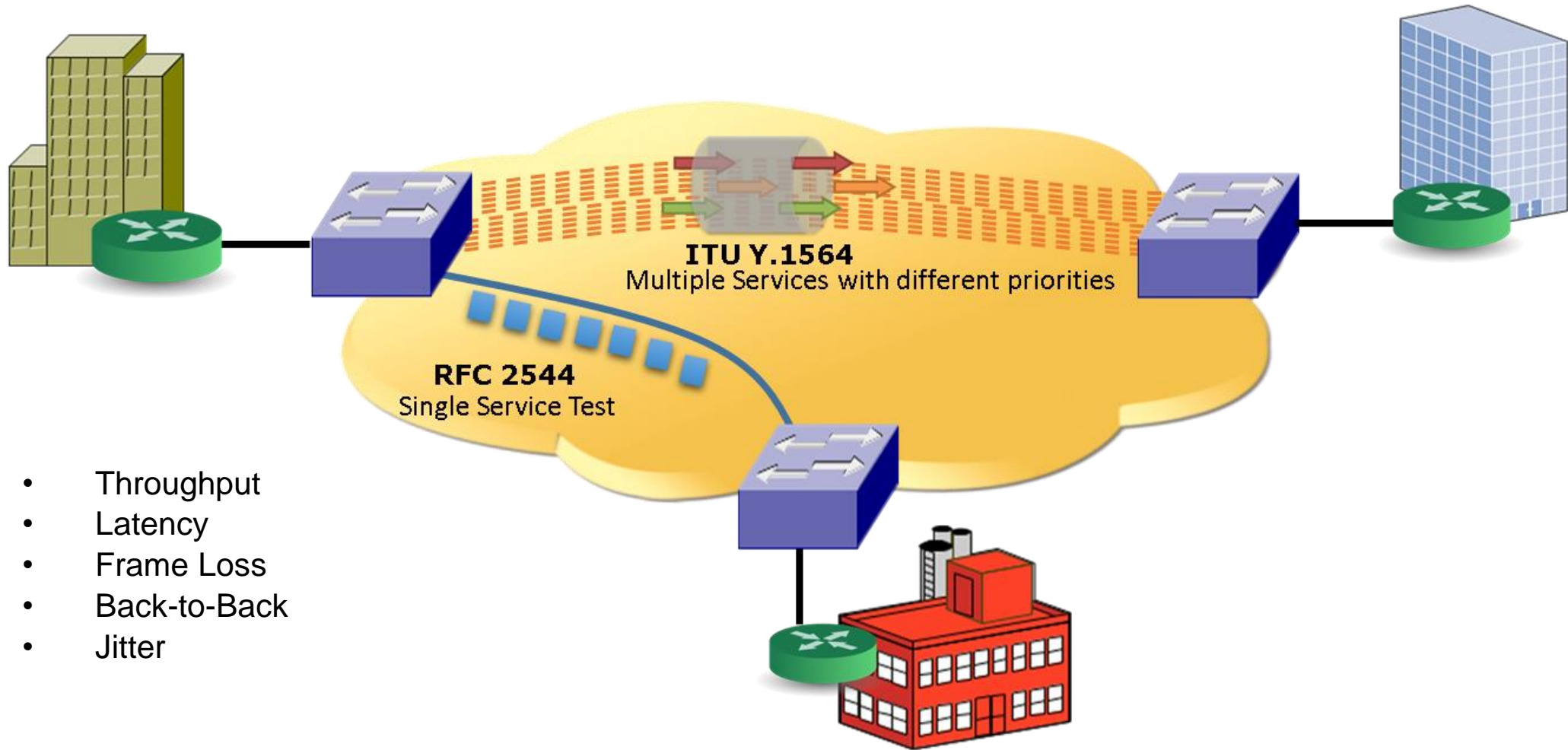| Category/Service	Service Level Agreement Metrics				
	Mean Time To Repair	Availability	Packet Delivery or Loss	Jitter	Latency
Internet Services					
Internet Dedicated (North American IP Network Only)	4 hrs to 8 hrs depending on access	99.90%	≥ 99.50%	≤ 1 ms	≤ 45 ms
SOHO Services					
Internet Cable	24 hrs (Excludes Weekends and Holidays)	99.00%	99.00%	≤ 4 ms	≤ 75 ms
Internet DSL – Office & Solo					
Internet Satellite Enterprise & Office	N/A	99.90%	≤ 1 %	N/A	N/A
Managed PBX and VoIP Services					
Hosted IP Centrex	≤ 4 hrs	99.90%	EF- ≥ 99.995%, AF4x - ≥ 99.99% depending on access	≤ 1 ms	≤ 36 ms
IP Flexible T1, IP Integrated Access, IP Trunking					

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RFC-2544 vs. ITU Y.1564 (ExpertSAM™)

Both are Connection-less

- Throughput
- Latency
- Frame Loss
- Back-to-Back
- Jitter

ITU Y.1564
Multiple Services with different priorities

RFC 2544
Single Service Test

RFC-2544 Testing

- ExpertTCP™ testing is performed using the RFC 6349 standard
- To conduct this test, users need two PacketExpert™ devices — one as the client and the other as the server
- The ExpertTCP™ test covers both upload (Client to Server) and download (Server to Client), measuring TCP throughput and efficiency

- RFC-2544 test application includes the following tests:
  - Throughput - Maximum number of frames per second that can be transmitted without any error
  - Latency - Measures the time required for a frame to travel from the originating device through the network to the destination device
  - Frame Loss - Measures the network's response in overload conditions
  - Back-to-Back - It measures the maximum number of frames received at full line rate before a frame is lost

Dual Port RFC-2544

PacketExpert™ Tx Rx Switch **Network** Layer 2 (Stacked VLAN), MPLS, IP/UDP

Single Port RFC-2544

PacketExpert™ Tx TIME Rx TIME **Network** Layer 2 (Stacked VLAN), MPLS, IP/UDP Frame Loopback PacketExpert™

Latency = Rx time-Tx time
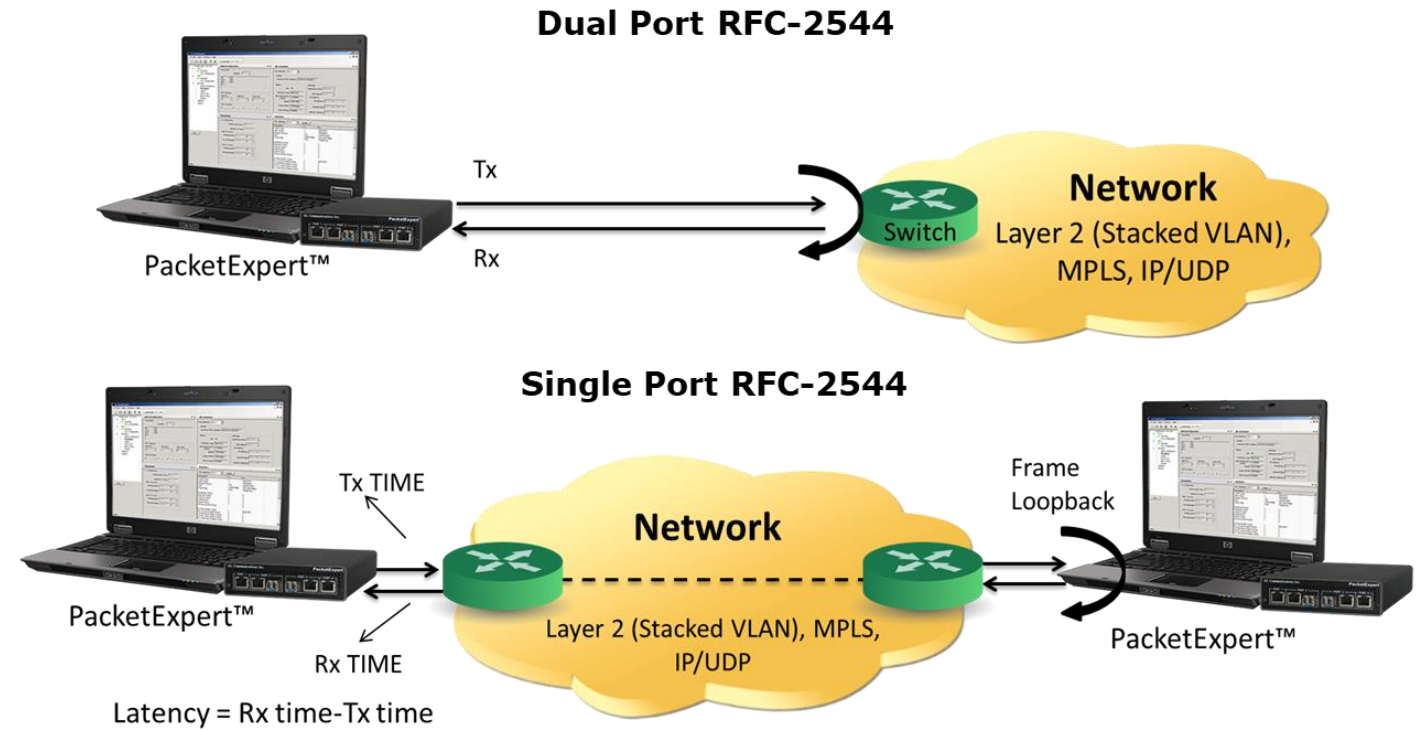
ITU Y.1564 (ExpertSAM™)
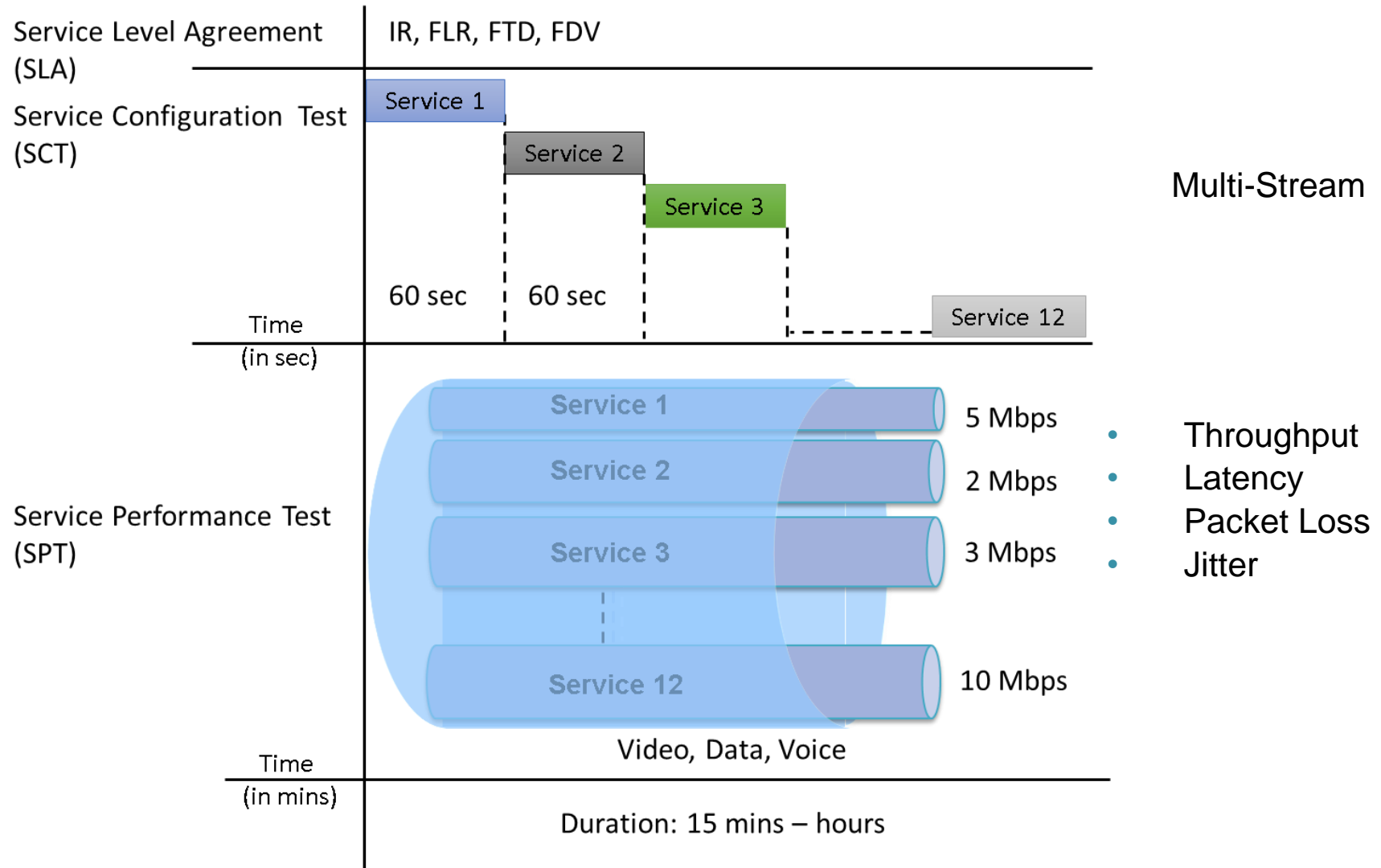
Testing Relevance

Problems	RFC-2544	Y.1564	RFC-6349
Single-service Layer 2/ 3/ 4 SLA Issues like loss, jitter	Yes	Yes	N/A
Multi-service Layer 2/ 3/ 4 SLA Issues like loss, jitter	No	Yes	N/A
TCP window sizes (CPE issues)	No	No	Yes
Excessive retransmissions due to policing	No	No	Yes

- Running RFC-2544, Y.1564 or another L2/L3 layer test is always first step

- However, even after these performance tests are passed with good results, end-customers can still complain that the "network is slow" and the cause of poor application performance (i.e., FTP, web browsing, etc.)

- Lack of TCP testing is a turn-up gap because end-customer applications are transported using TCP

- Save operating expense costs by eliminating or quickly resolving painful end-customer finger pointing scenarios

TCP Principle
(Packet Loss and Waiting for ACK Reduces Throughput)
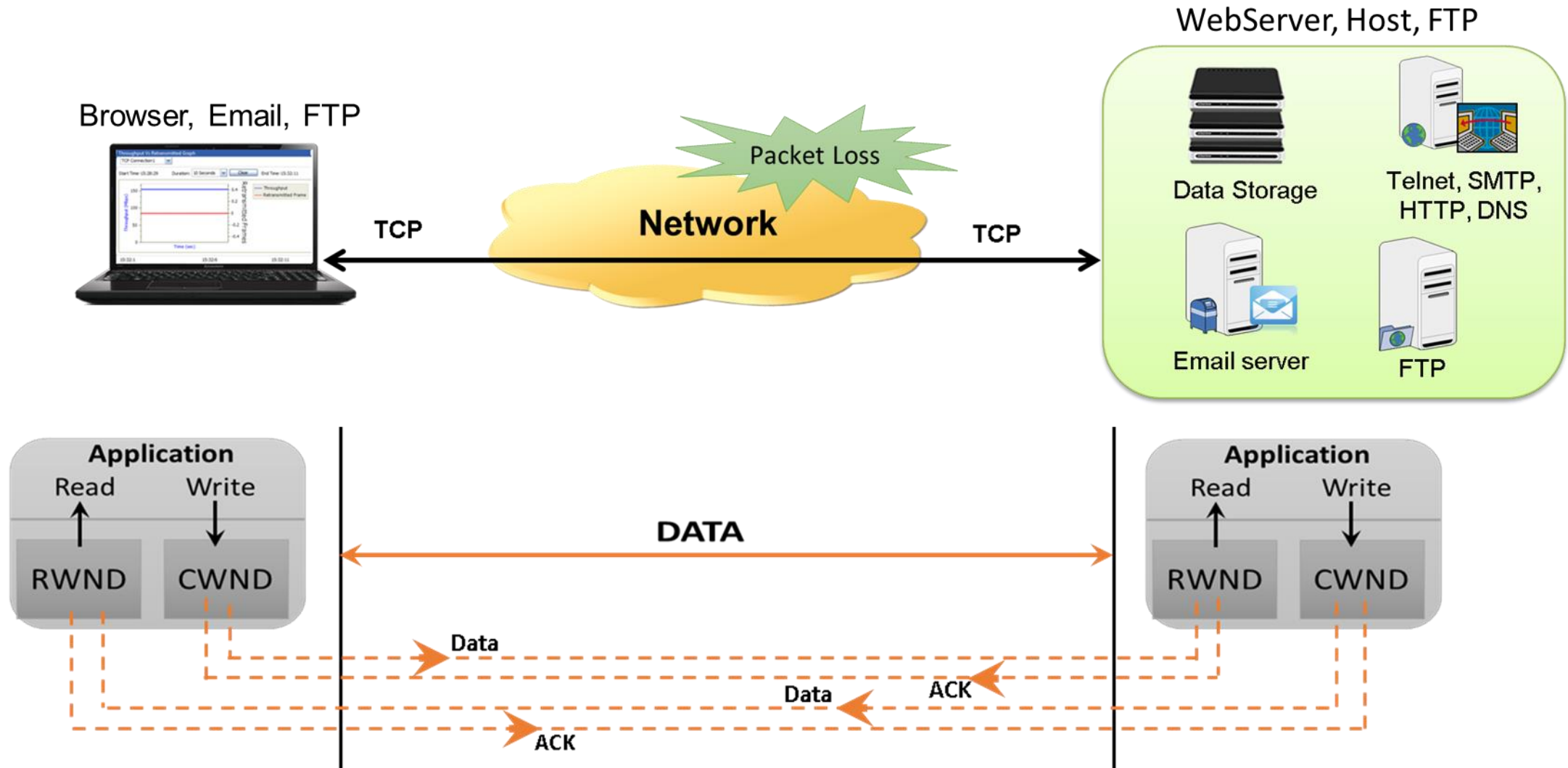
Major TCP Throughput Inter-Relationships

- Bandwidth of Applications

- Latency/Delay of Networks

- Packet Loss Networks

- TCP Retransmission Scheme

- Maximum Transmit Unit of Network

- Transmit/Receive Windows of TCP

- # (number) of TCP Simultaneous Connections

Bandwidth Delay Product (Bits or Bytes)

Application and Network are Matched, TCP is Tuned
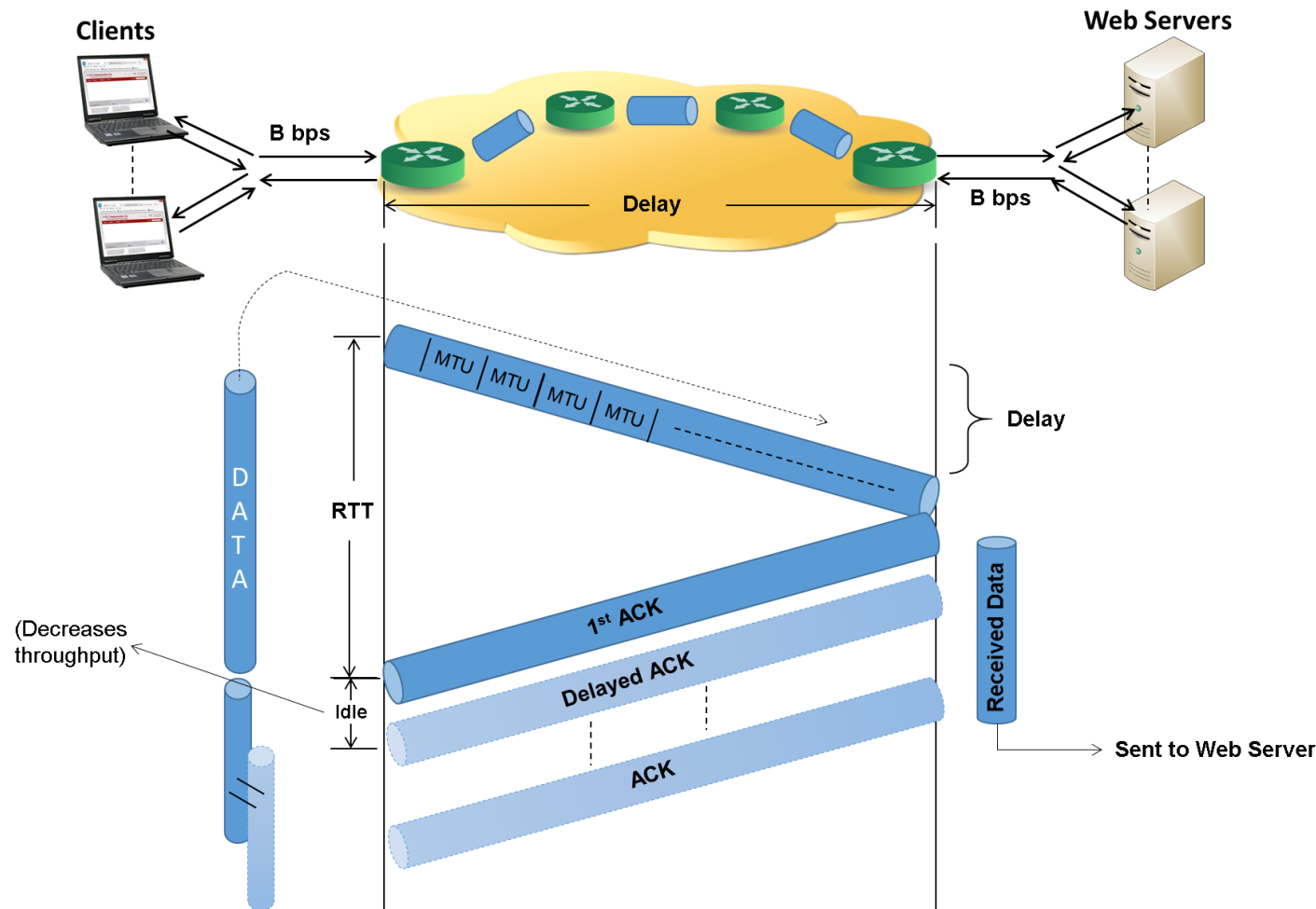
B= 10 Mbps
RTT = 50 ms

B*50 = 500,000 bits
or 62,500 Bytes

65,535 Bytes is max window

Achieving max throughput

Bandwidth (B) - Bandwidth (bps), Mbps, the maximum rate at which an application can transmit or receive data (the smaller of the two). Line rate may be shared among applications.

Bandwidth Delay Product (BDP) - measured in bits or bytes (divided by 8), the number of bits (or bytes) in the network that are unacknowledged (in transit), B (bps) * RTT (secs) = BDP bits.

Effect of Increased Network Delay or Smaller Tx or Rx Buffers
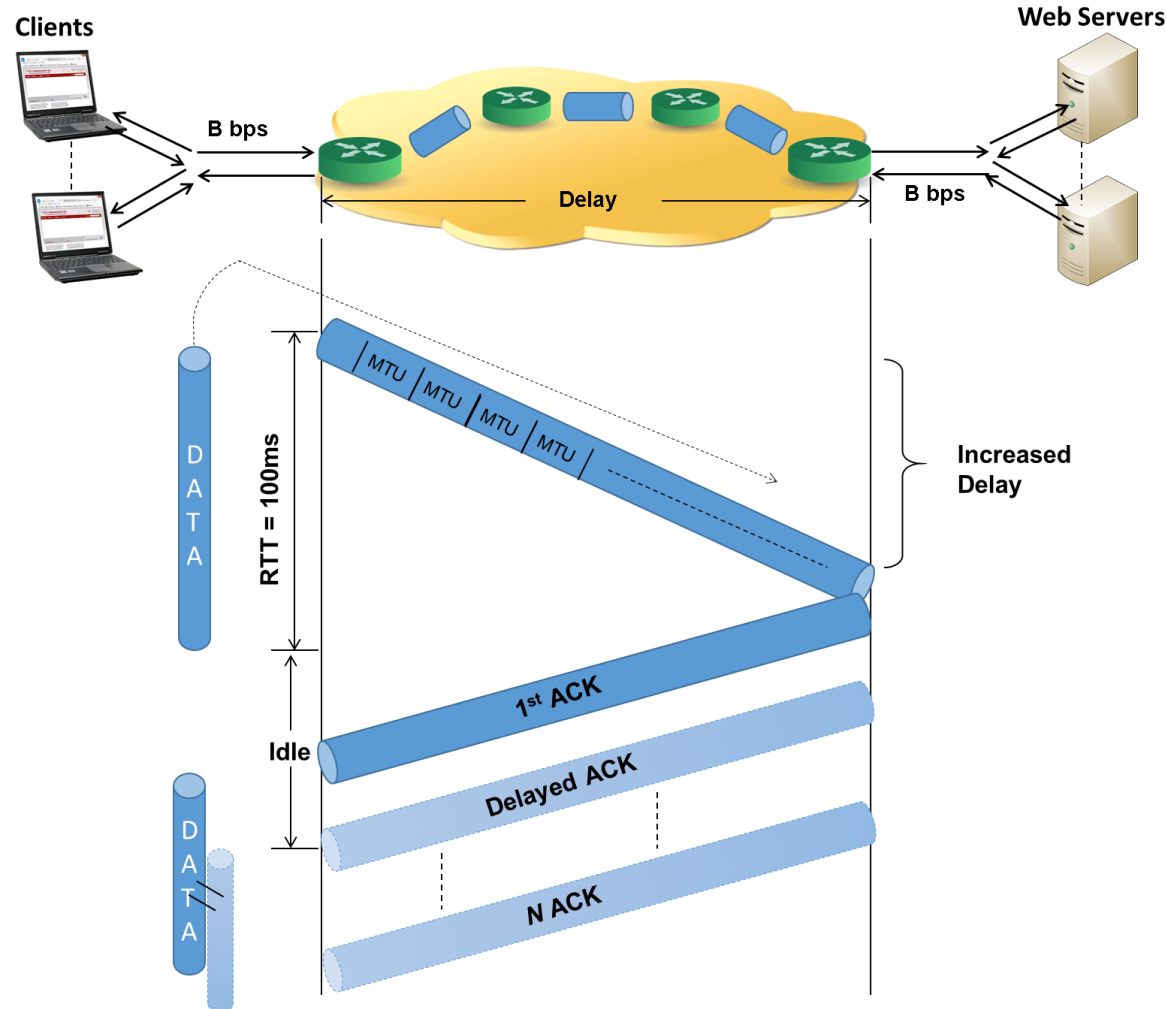
B = 10 Mbps
RTT = 100 ms

B*100 = 1,000,000 bits
or 125,000 Bytes

But 65,535 Bytes is max window

NOT Achieving max throughput, 50% or less

Clients

Web Servers

B bps

B bps

Delay

Increased Delay

DATA

RTT = 100ms

1st ACK

Idle

Delayed ACK

DATA

N ACK

Latency, Delay, Round Trip Time (RTT) - in seconds (secs), or milliseconds (ms), round trip time includes acknowledgement delay.

TCP Throughput - bits/second (bps), million bits/second (Mbps), One way throughput (RFC2544, Y.1564), Round-trip throughput (RFC-6349) is a different story since retransmissions and acknowledgements are involved.

Effect of Increased Application Bandwidth

Clients

Web Servers

B bps

B bps

Delay

Delay

DATA

RTT = 50 ms

MTU MTU MTU MTU MTU MTU MTU MTU MTU

Idle

1st ACK

Delayed ACK

N ACK

DATA
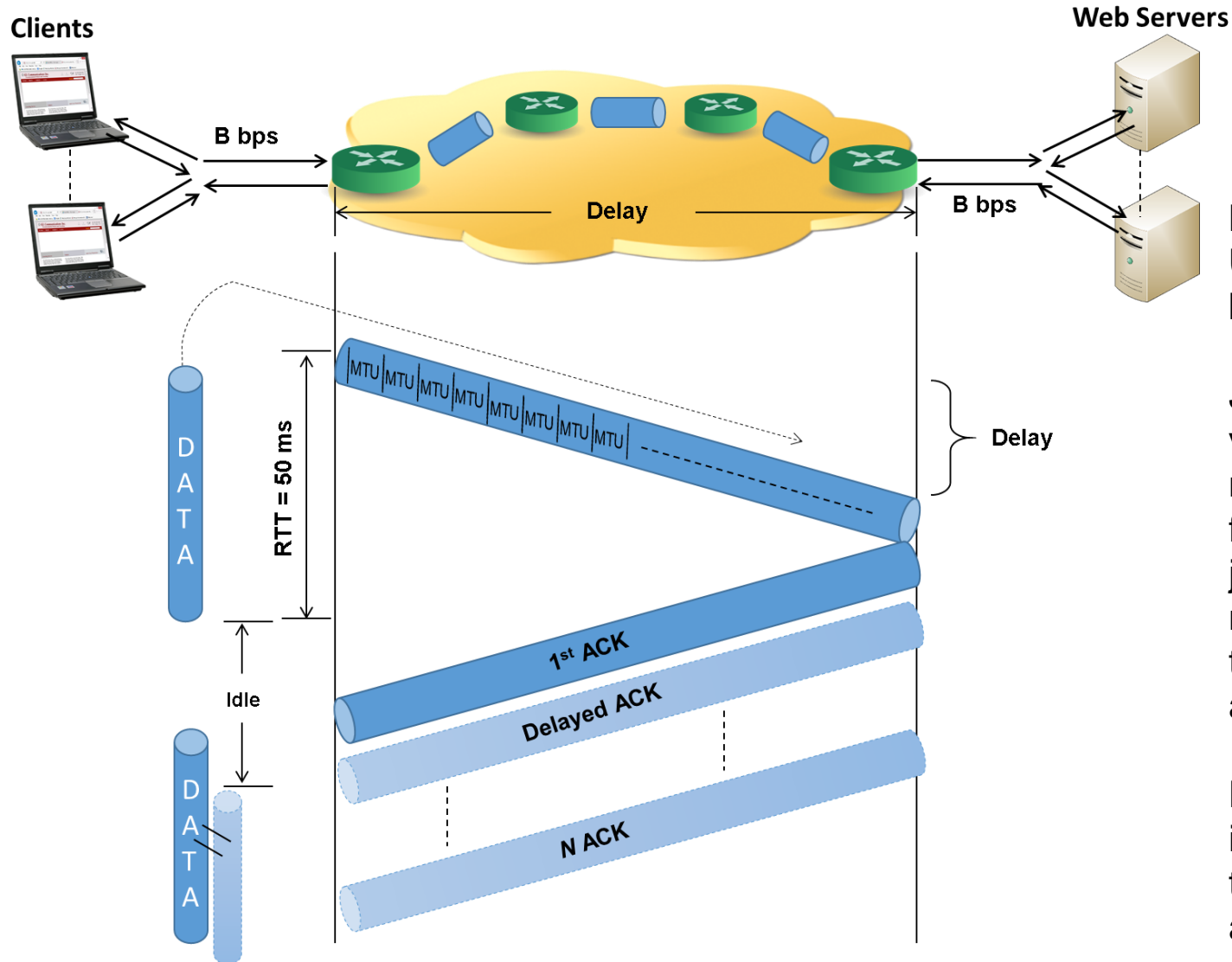
B = 20 Mbps
RTT = 50 ms

B*50 = 100,000 bits
or 125,000 Bytes

But 65,536 Bytes is
max window

NOT Achieving max
throughput, 50% or
less

Maximum Transmission Unit (MTU) - Approx. 1500 bytes, max packet size.

Jitter - Instantaneous variation in RTT, e.g. if RTT is nominally 100 ms, but varies from 80 ms to 120 ms, then jitter is +/- 20ms, or 40 ms. Since jitter affects ACK time, TCP throughput is affected.

Packet Loss Rate - Very important factor affecting TCP throughput, could be as high as 2%.

Excess Bandwidth may be used for additional TCP Connections

GL Communications

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Effect of Packet Loss Rate and Retransmission Scheme

Clients

Web Servers

B bps

Delay

B bps

DATA

RTT

Retransmission

Idle

| MTU | MTU | MTU | MTU | - - - - -

Delay

Packet Loss

1st ACK

Delayed ACK

ACK
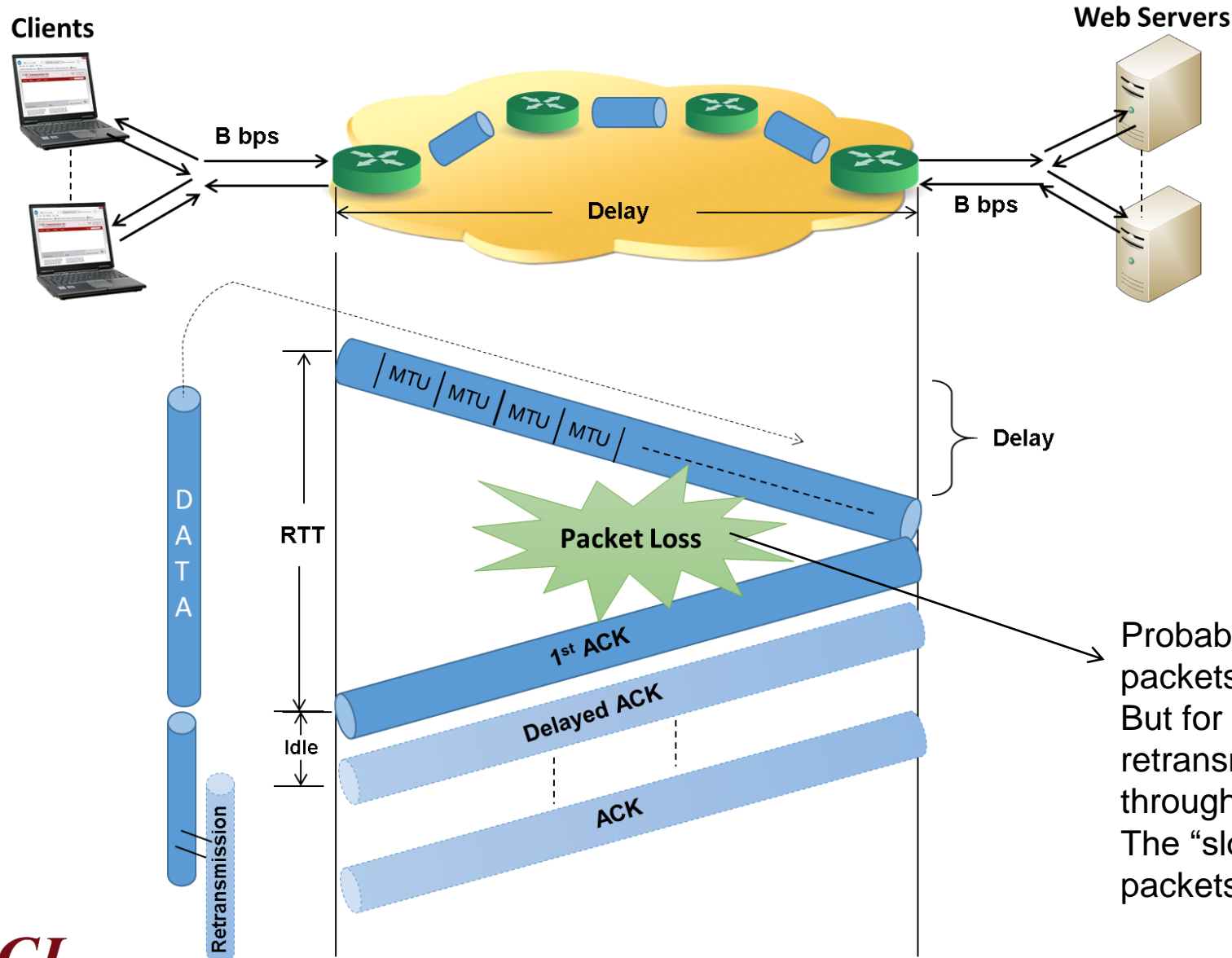
For **Go Back N** retransmission scheme, and if unacked packets is maximum ~ 43 or 44, then Packet Loss effects are very serious!

Packet Loss	TCP Throughput
0 %	100%
0.1 %	< 50%
1 %	< 10%
2 %	0 %

Probability that one or more MTU packets or ACK packets is lost is very high!! Can be 1 !!!
But for every lost MTU packet or ACK packet, 43 retransmissions occur. This results in near zero throughput.
The "slow start phase" results in very few "in flight" packets.

Effect of Packet Loss Rate and Retransmission Scheme (Contd.)
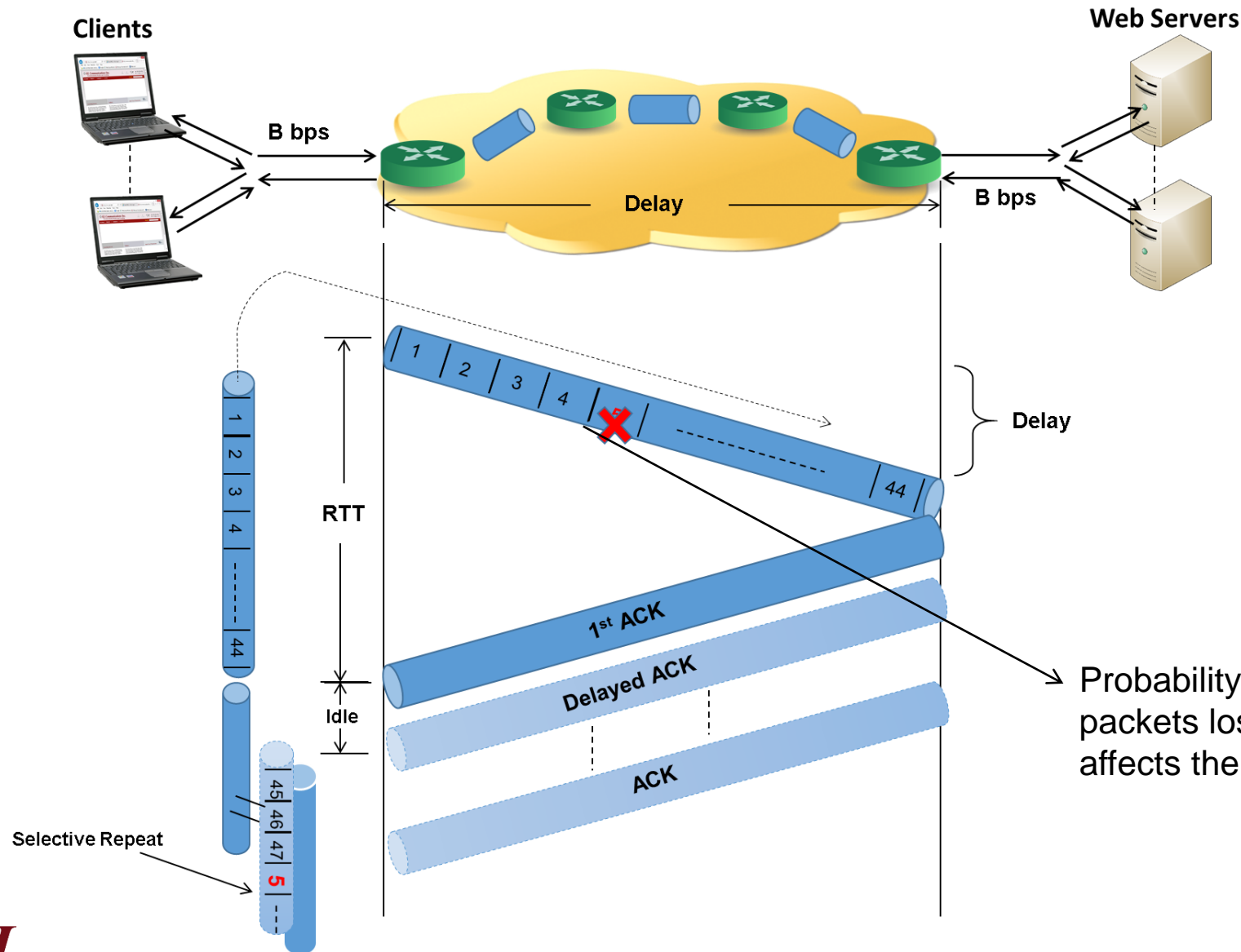
For **Selective Repeat** retransmission scheme, and if unacked packets is maximum ~ 43 or 44, then Packet Loss affects TCP Throughput linearly for "low" Packet Loss rates.

Packet Loss	TCP Throughput
0 %	100%
0.1 %	> 99 %
1 %	> 95 %
2 %	? %

Probability that one or more MTU packets or ACK packets lost is very high! But the retransmission only affects the lost packets, not other packets.

ExpertTCP™ (RFC-6349 Testing)

The TCP Throughput Testing is conducted in 3 steps simultaneously on up to 16 application streams:

- **Path MTU Discovery** - What is the maximum packet size that can successfully traverse the network?

- **Round Trip Time (RTT) Measurement** - Timestamp based RTT discovery of transmitted packet until acknowledgement packet arrives from far end

- **Measure TCP Throughput** - Complete measurements per RFC-6349 definitions to provide TCP Throughput results

GL's ExpertTCP™ Provides Reports and Graphs of all Results

GL Hardware / Software
ExpertTCP™

Basic Setup

**Test Configuration of Client and Server
Measurement Results from Server to Client**
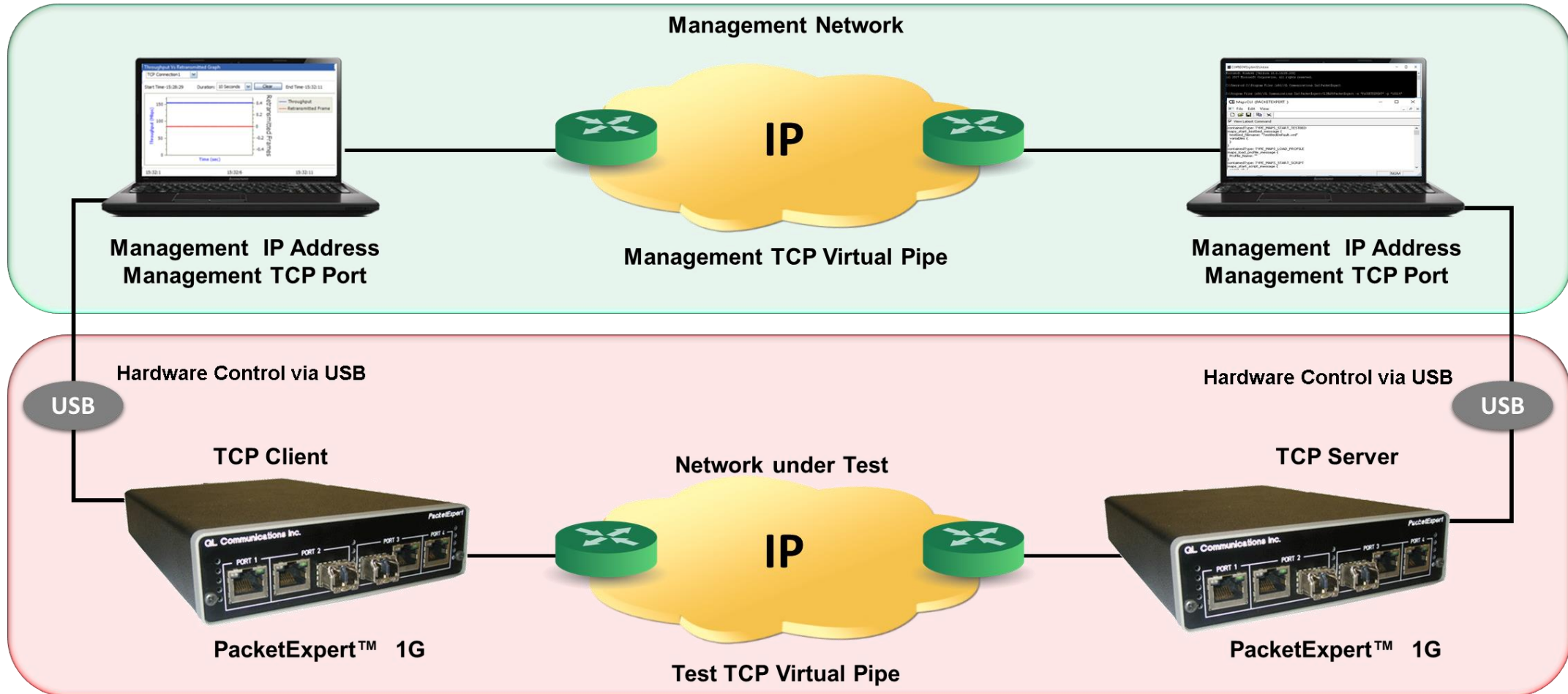
End-to-End Application Performance
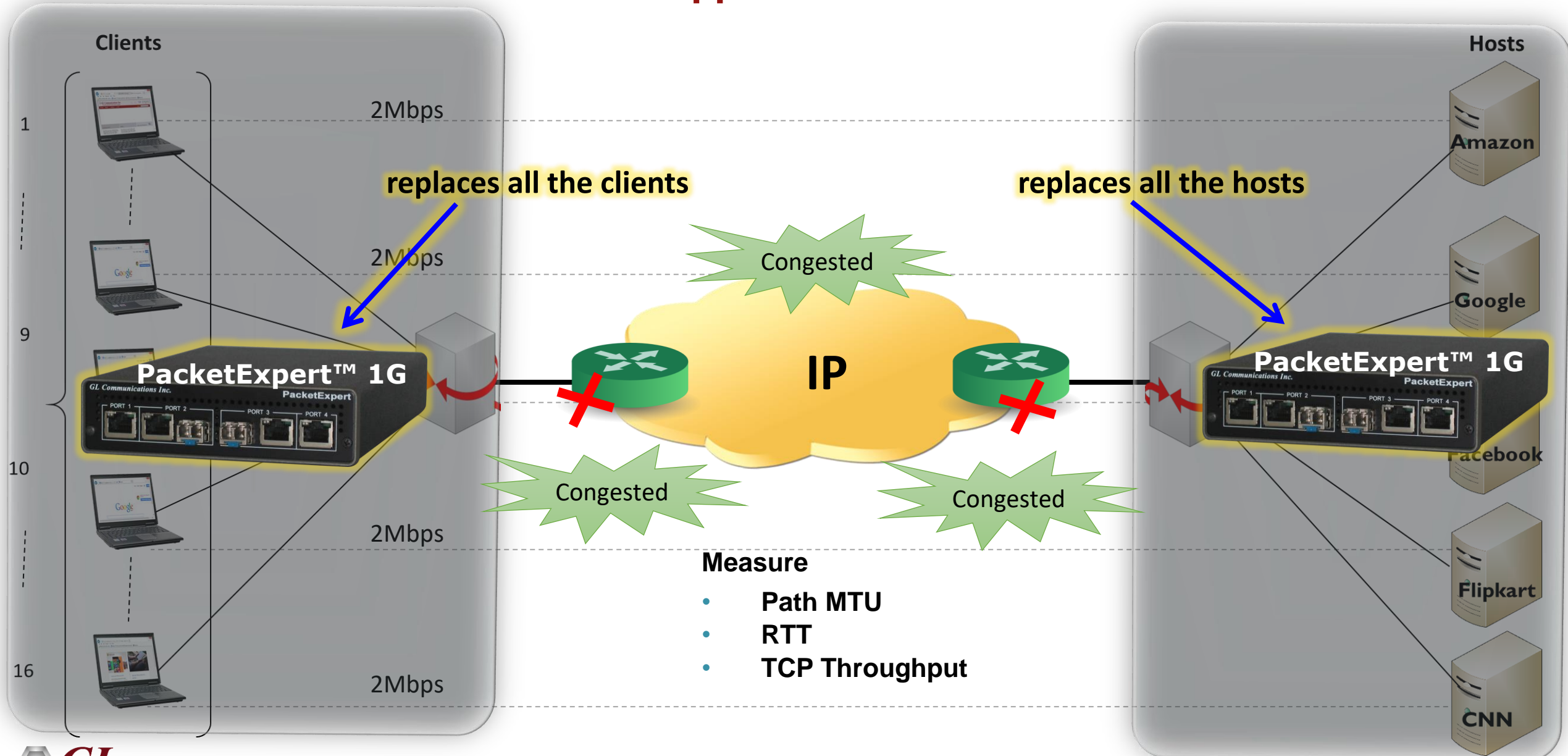
ExpertTCP™ 1G Ports

ExpertTCP™ 1G
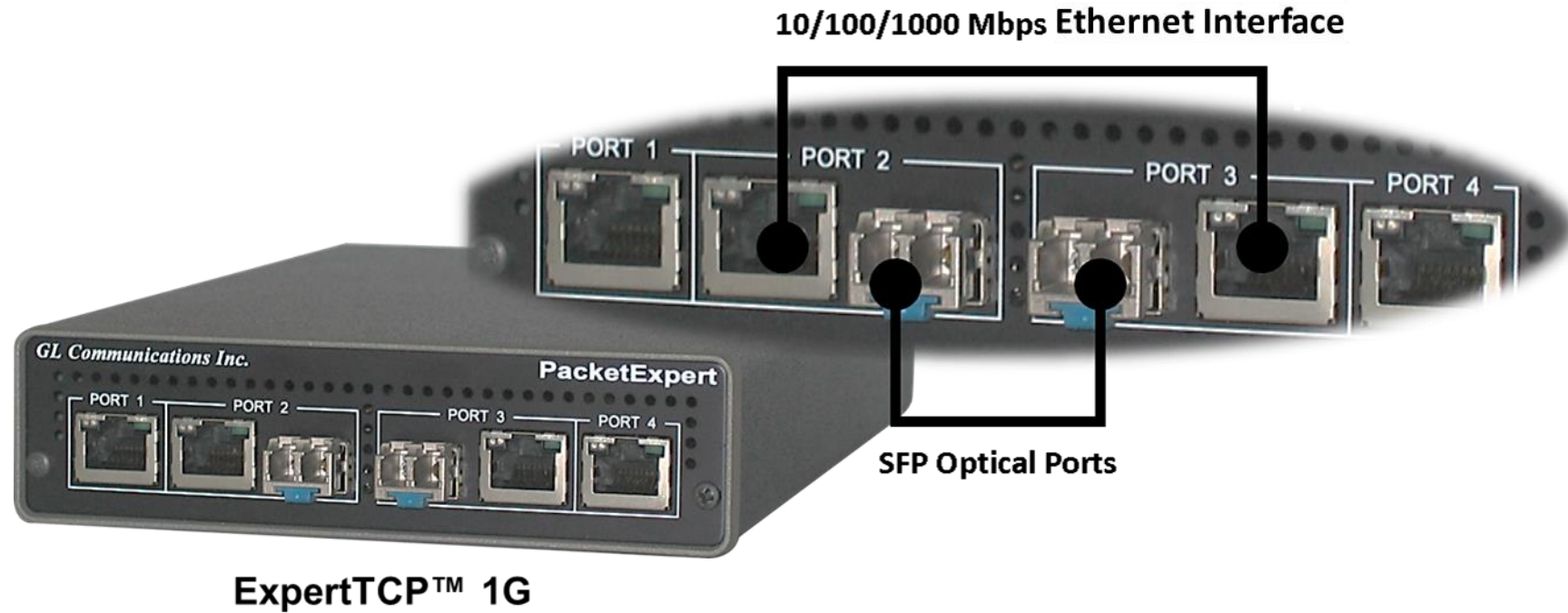
- **TCP Client and Server** will be supported in two different applications.
- In 1G, **Port 2** is used.

PacketExpert™ 1G Portable Unit

- Interfaces
  - ➤ 2 x 10/100/1000 Base-T Electrical only
  - ➤ 2 x 1000 Base-X Optical OR 10/100/1000 Base-T Electrical
  - ➤ Single Mode or Multi Mode Fiber SFP support with LC connector
  - ➤ Optional 4-Port SMA Jack Trigger Board (TTL Input/Output)
- Protocols:
  - ➤ RFC 2544 compliance
  - ➤ ITU-T Y.1564 (ExpertSAM)
- Power:
  - ➤ +12 Volts (Medical Grade), 3 Amps
- Bus Interface:
  - ➤ USB 2.0

Power: 12V (Medical Grade), 3A

USB 2.0

Back Panel

Front Panel

1000 Mbps Fiber Interface

10/100/1000 Mbps Ethernet Interface

10/100/1000 Mbps Ethernet Interface
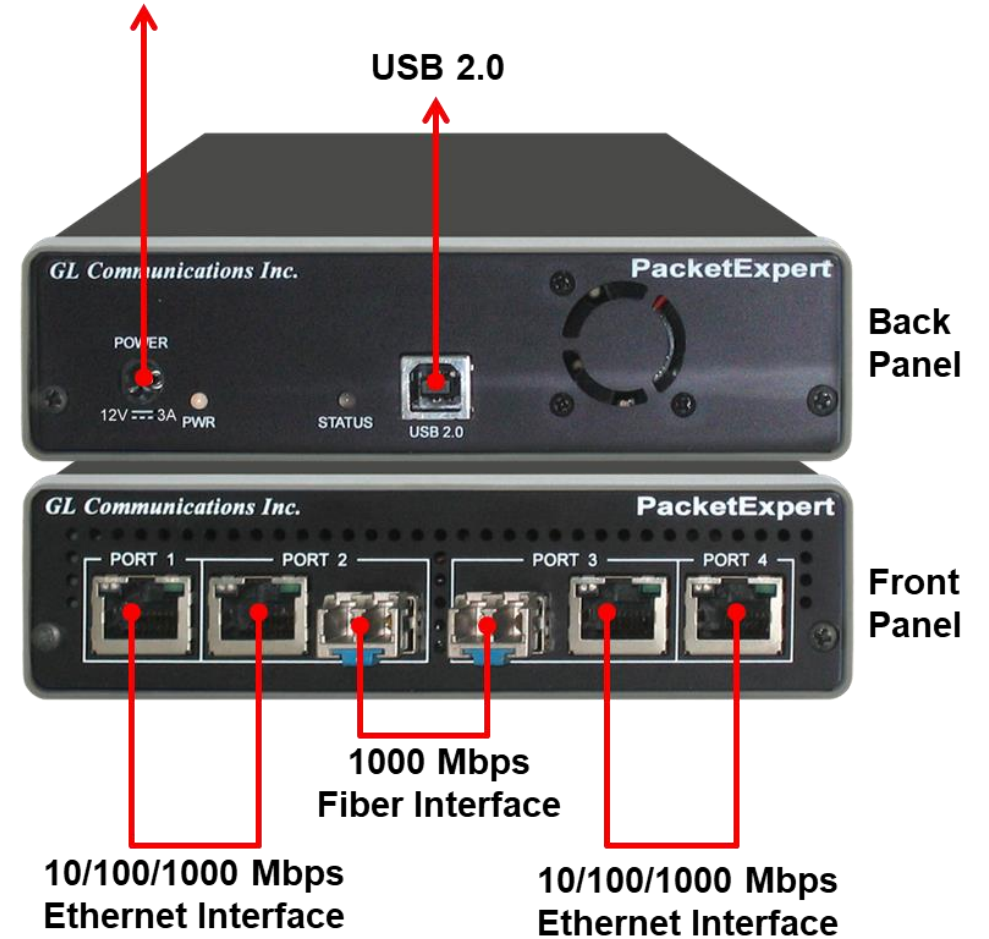
PacketExpert™ mTOP™ Probe

Front Panel View
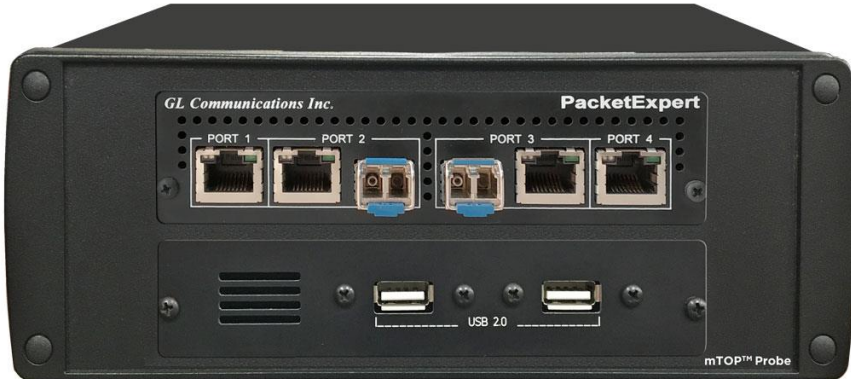
Rear Panel View

- Portable Quad Port Ethernet/VLAN/MPLS/IP/UDP Tester with 4 Electrical Ethernet Ports (10/100/1000 Mbps) and 2 Optical Ports (100/1000 Mbps). Embedded with Single Board Computer (SBC)

- **SBC Specs**: Intel Core i3 or optional i7 NUC Equivalent, Windows® 11 64-bit Pro Operating System, USB 3.0 and USB 2.0 Ports, 12V/3A Power Supply, USB Type C Ports, Ethernet 2.5GigE port, 256 GB Hard drive, 8G Memory (Min), Two HDMI ports

- Each GigE port provides independent Ethernet/VLAN/MPLS/IP/UDP testing at wire speed for applications such as BERT, RFC 2544, and Loopback. BERT is implemented for all layers

- RFC 2544 is applicable for Layers 2, 2.5, and 3, and Loopback is applicable for Layers 2, 3, and 4

PacketExpert™ High-Density 12/24 GigE Ports mTOP™ Rack

PacketExpert™ SA (PXE112) is a 12-Port PacketExpert™ w/ Embedded Single Board Computer (SBC).

SBC Specs: Intel Core i3 or optional i7 NUC Equivalent, Windows® 11 64-bit Pro Operating System, USB 3.0 and USB 2.0 Ports, ATX Power Supply, USB Type C Ports, Ethernet 2.5GigE port, 256 GB Hard drive, 8G Memory (Min), Two HDMI ports.

19" 1U Rackmount Enclosure (If options, then x 3).

PacketExpert™ SA (PXE112)

Front Panel

Back Panel

PacketExpert™ SA (PXE124) is a 24-Port PacketExpert™ w/ Embedded Single Board Computer (SBC).

SBC Specs: Intel Core i3 or optional i7 NUC Equivalent, Windows® 11 64-bit Pro Operating System, USB 3.0 and USB 2.0 Ports, ATX Power Supply, USB Type C Ports, Ethernet 2.5 GigE port, 256 GB Hard drive, 8G Memory (Min), Two HDMI ports.

19" stacked 1U Rackmount Enclosure (If options, then x 6).

PacketExpert™ SA (PXE124)

Step 1. Path MTU Discovery

Client sends packet with Don't Fragment (DF) bit set

Network device rejects packets that are bigger than the supported MTU, and will not forward the packet towards server

MTU 1500   MTU 1500   MTU 1000

TCP Packet 1500

TCP Packets 1500 Dropped

PacketExpert™ 1G
ExpertTCP Client

PacketExpert™ 1G
ExpertTCP Server

TCP ACK does not reach client, making the client try again with a different TCP packet size
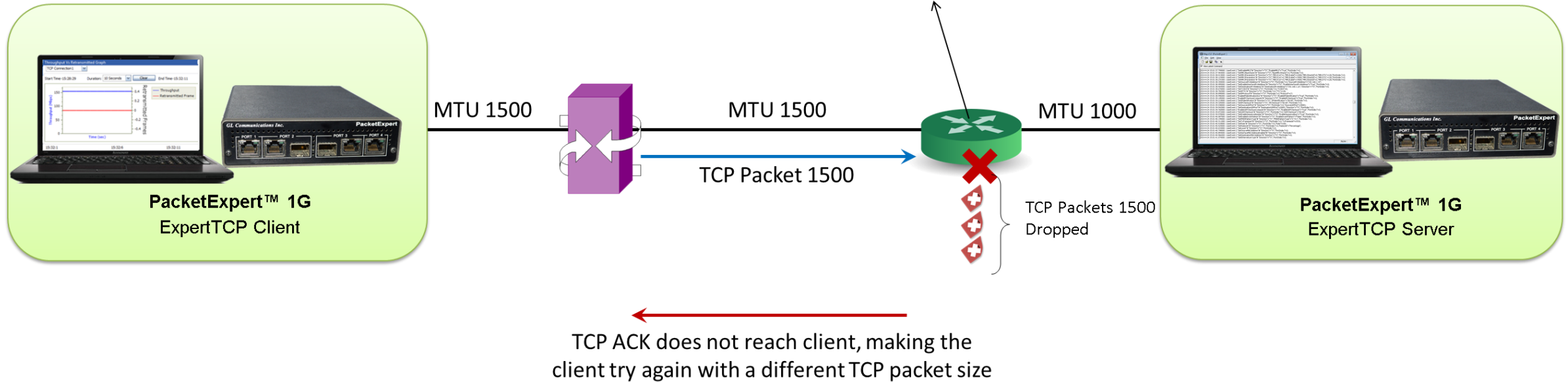
Step 1. Path MTU Discovery
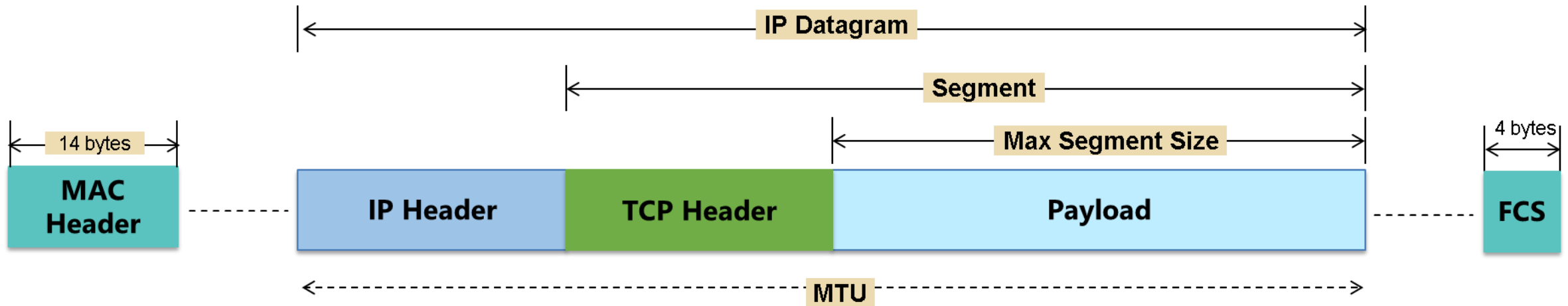
- Path MTU discovery as per RFC 4821 - PLPMTUD - Packetization Layer Path MTU Discovery.

- DF (Do Not Fragment) bit is set to avoid fragmentation when traversing through network.

- The algorithm uses TCP retransmit conditions to search for the MTU.

- Each conclusive probe narrows the MTU search range, either by raising the lower limit on a successful probe or lowering the upper limit on a failed probe.

- Path MTU is discovered for both directions in case of bi-directional test.

Step 2. Timestamp based RTT Measurement
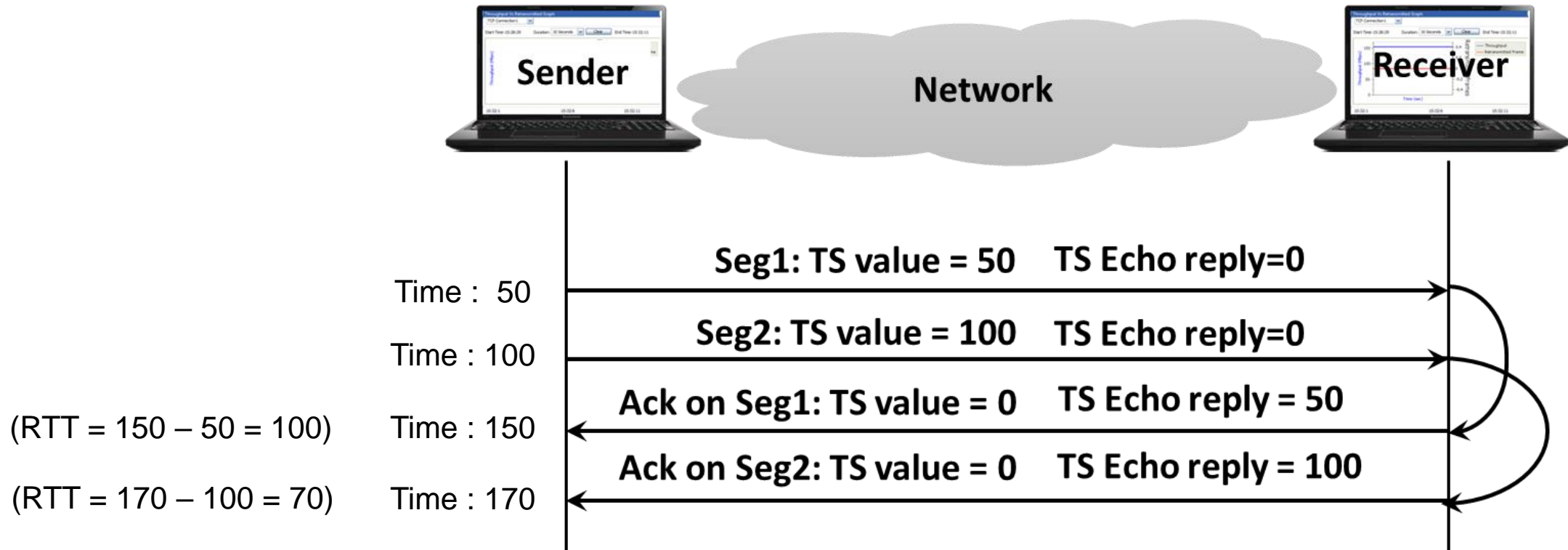
- Timestamp based RTT Measurement (RFC1323).

- Tx segment includes current time in option field, Receiver echoes timestamp in ACK.

Step 3. Now Ready to Measure TCP Throughput
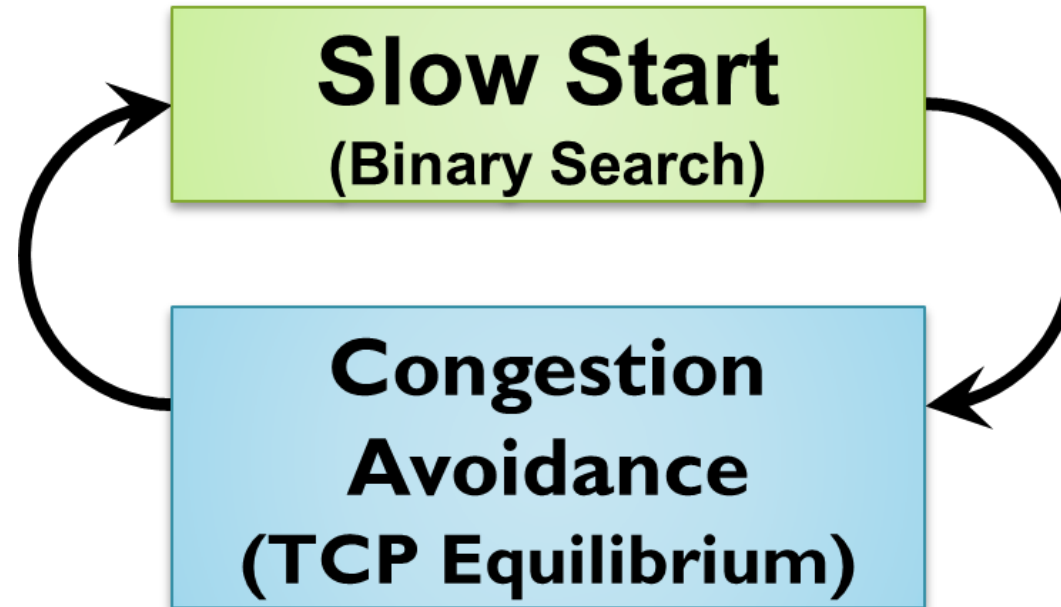
Step 3. Slow Start TCP Throughput Measurement
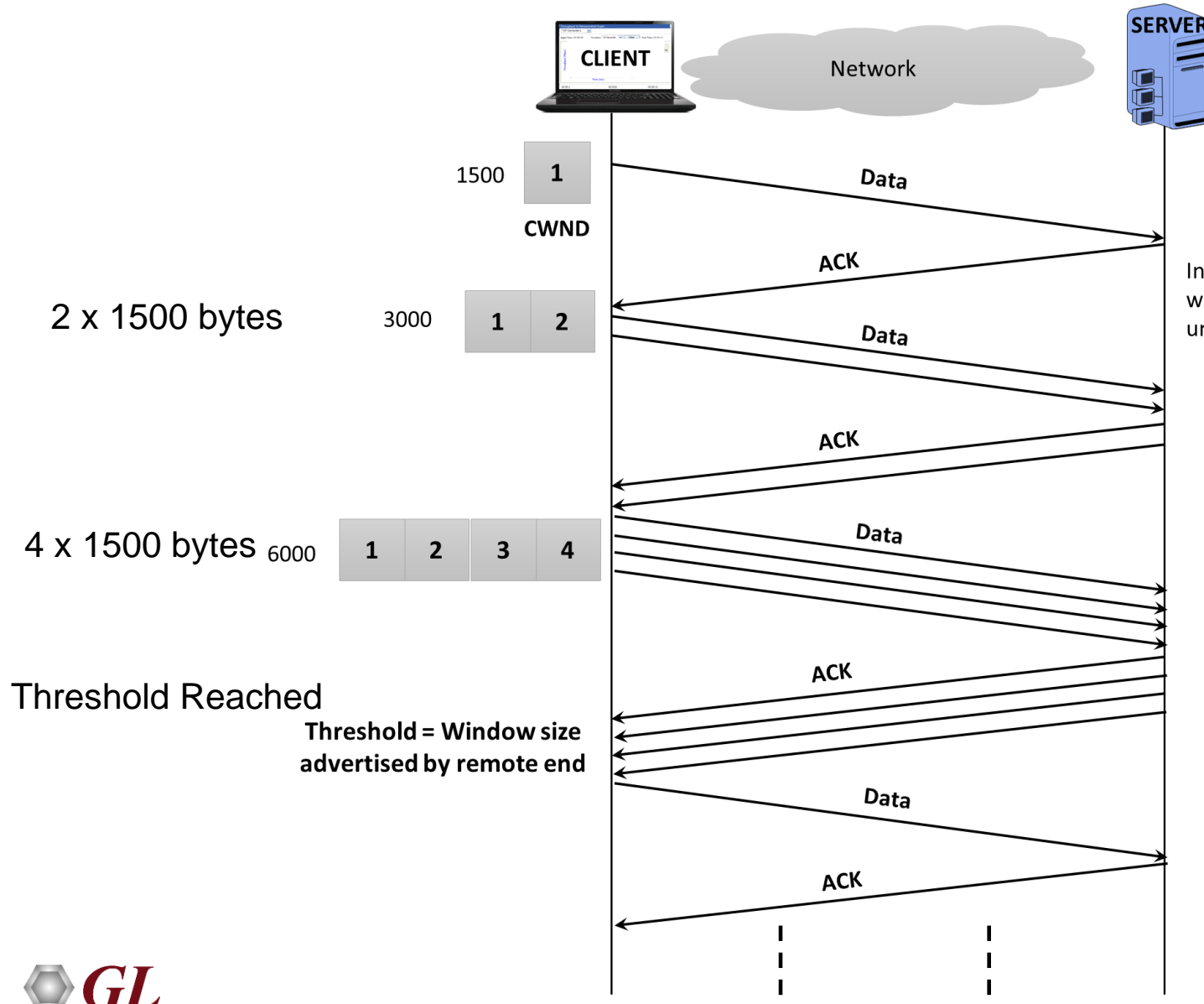
In Slow start, the congestion window increases exponentially until it reaches threshold

Slow Start - Initially send two TCP Segments
If Acks received, then send double the number of TCP Segments.

Continue doubling until the Receiver "ssthreshold" # is reached, or Acks are not received and Timeout is reached, then halve the send TCP segments.

If Acks are received send TCP segments are incremented by one, until again Timeout is reached, then number of send TCP segments is halved and the process continues.

Step 3. TCP Throughput Equilibrium
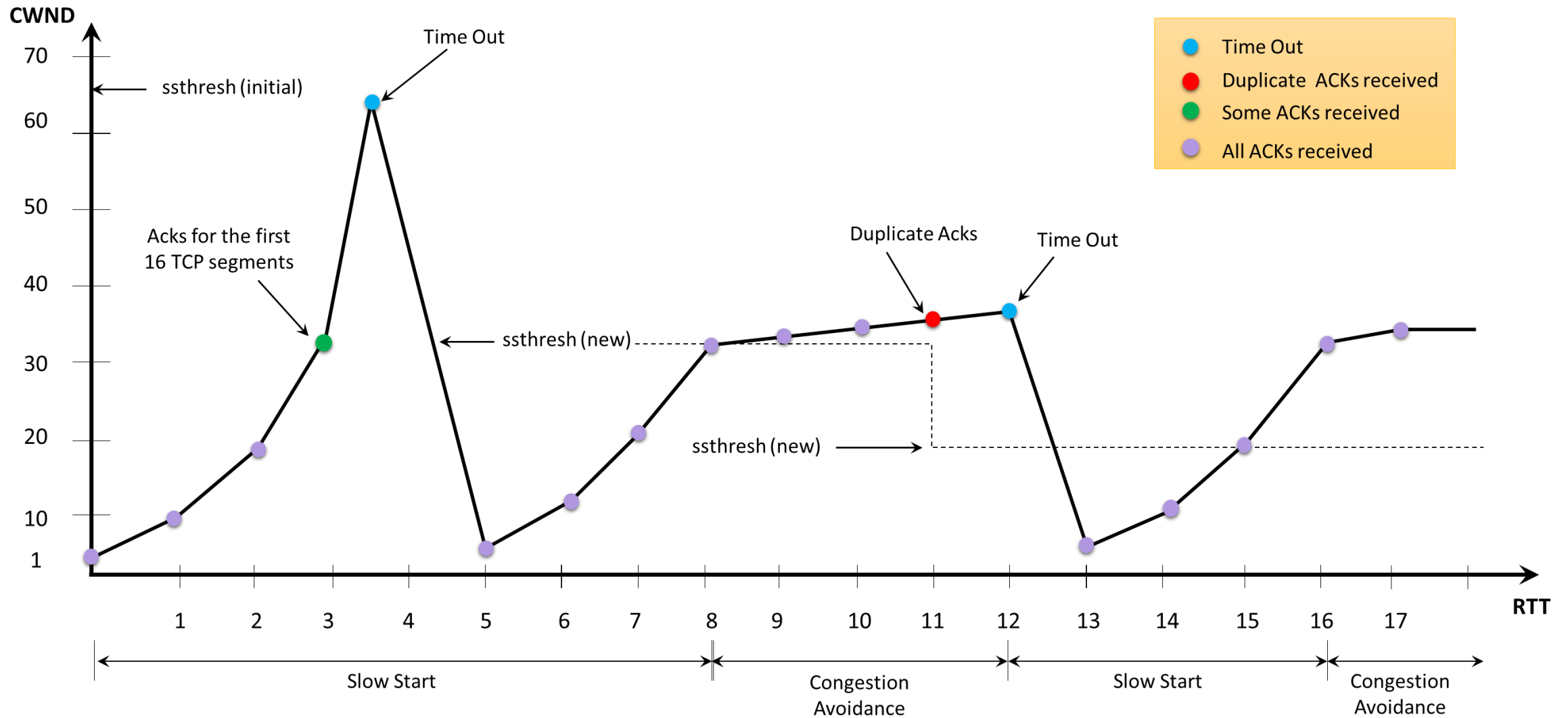
Screenshots of Software Operation

ExpertTCP™ Main Screen

Network Setup

All settings configured locally on the client side

Network Setup (Contd.)

Separate Upstream and Downstream bandwidths configurable for asymmetrical path

TCP Setup

Single TCP connection

Multiple TCP connections

TCP Setup (Contd.)

Upstream/Downstream/Bidirectional

- Path MTU - run test and discover or user can enter manually

- Baseline RTT - run test and find out or user can enter manually

- Separate Path MTU/Baseline RTT configuration for Upstream/Downstream directions for asymmetrical paths

Status and Results

Statistics and Periodic Results
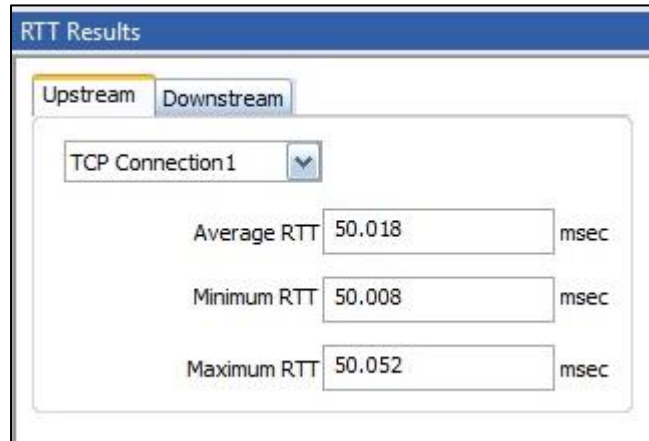
Statistics are updated every second and includes -

- TCP Transmitted Frames/Bytes

- TCP Retransmitted Frames/Bytes

- Retransmitted Bytes Percentage

Throughput and RTT values are calculated.

every second and displayed. Minimum,

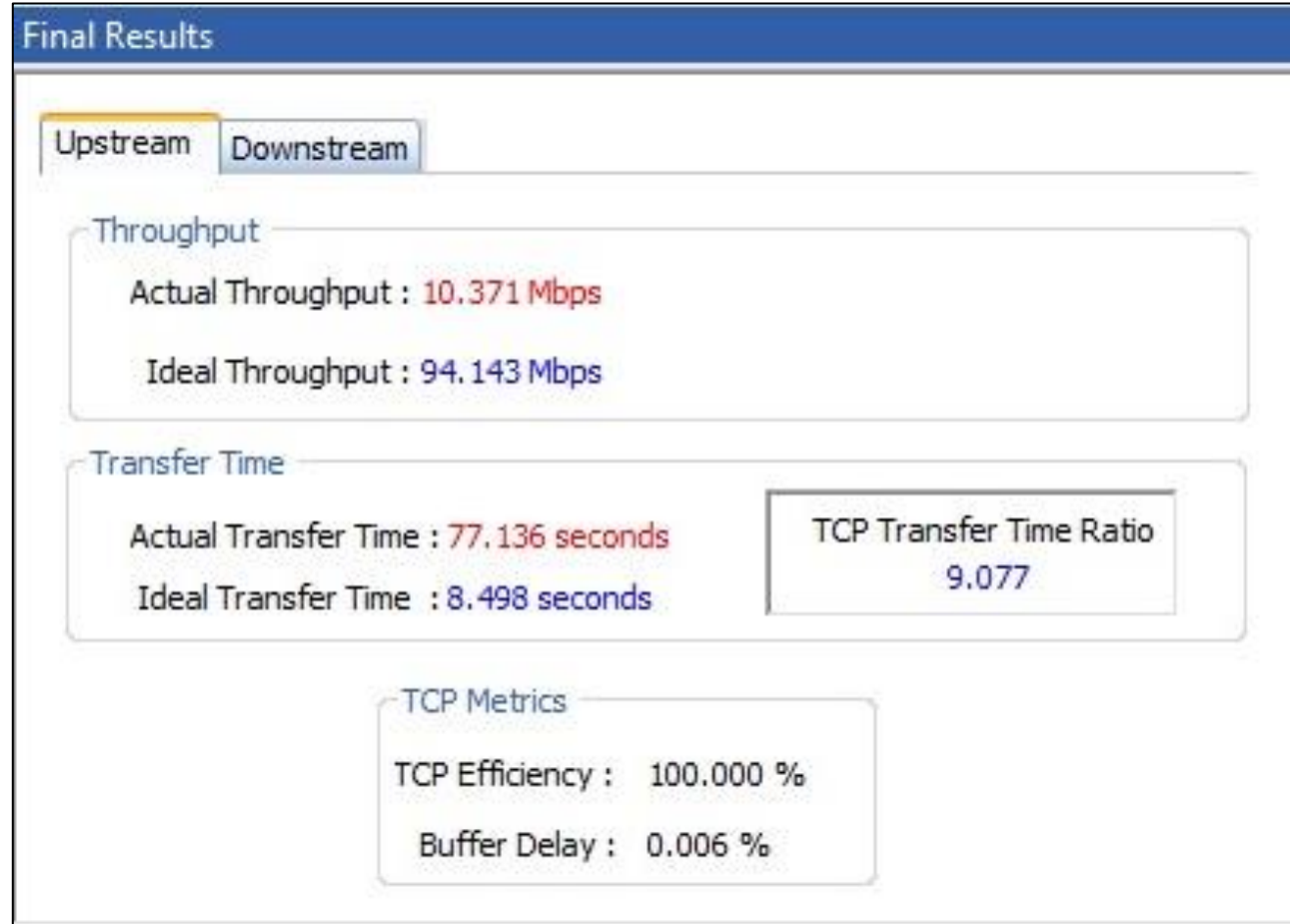Maximum and Average Values are displayed.

Final Results

Ideal Throughput - the maximum possible TCP throughput for the given CIR.

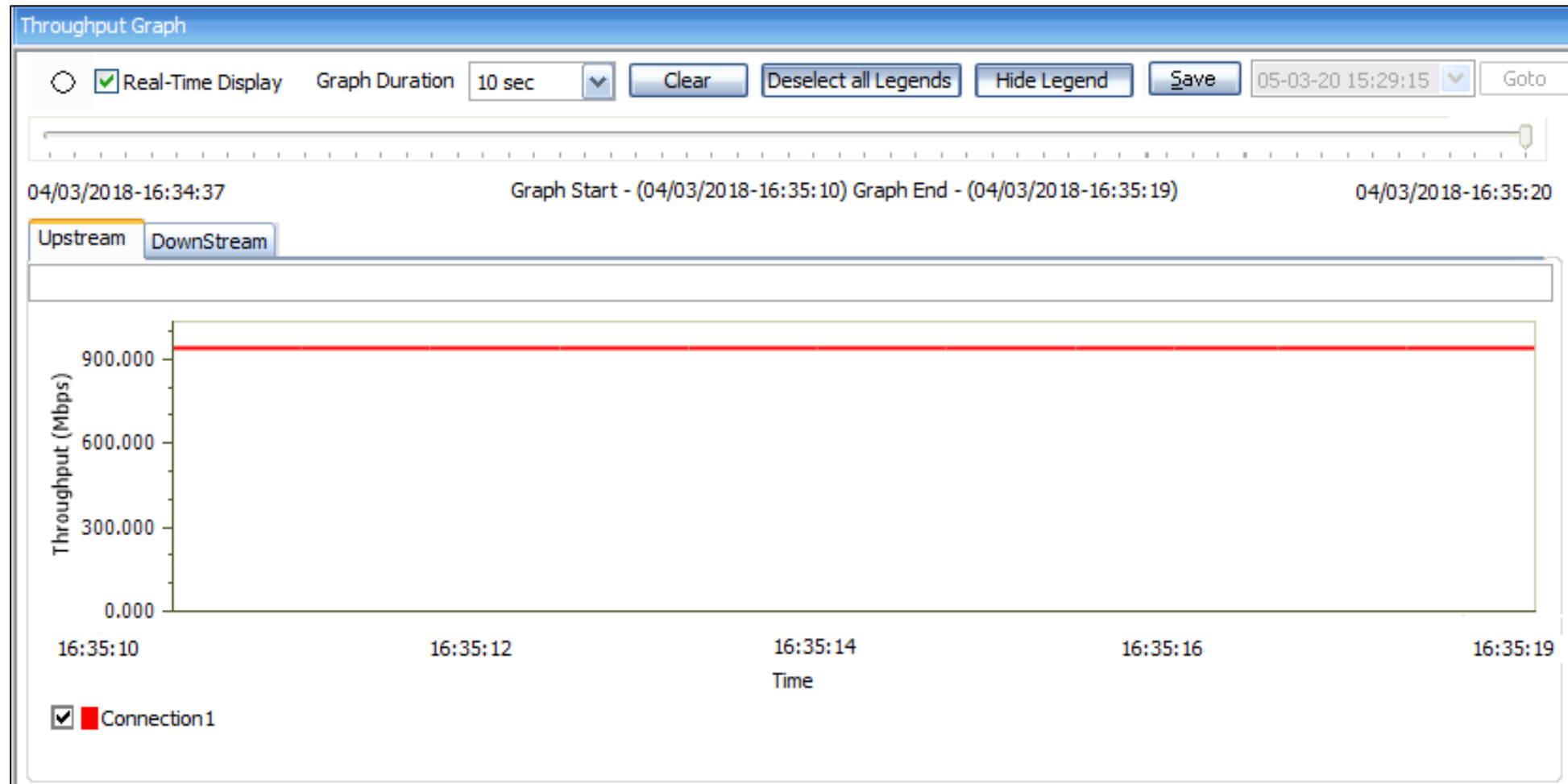**Ideal Transfer Time -** the time taken to transfer the test data size at the ideal throughput.

TCP Transfer Time Ratio - Measure of how much Actual transfer time is greater than the Ideal transfer time.

**TCP Efficiency -** measure of the number of Transmitted bytes compared to the retransmitted bytes.

Buffer Delay - measure of how much the RTT increases during the actual TCP Throughput test compared to the Baseline RTT.

Final Results

Upstream | Downstream

Throughput
Actual Throughput : 10.371 Mbps
Ideal Throughput : 94.143 Mbps

Transfer Time
Actual Transfer Time : 77.136 seconds
Ideal Transfer Time : 8.498 seconds

TCP Transfer Time Ratio
9.077

TCP Metrics
TCP Efficiency : 100.000 %
Buffer Delay : 0.006 %

Throughput Graph

Throughput vs. Retransmitted Frames Graph
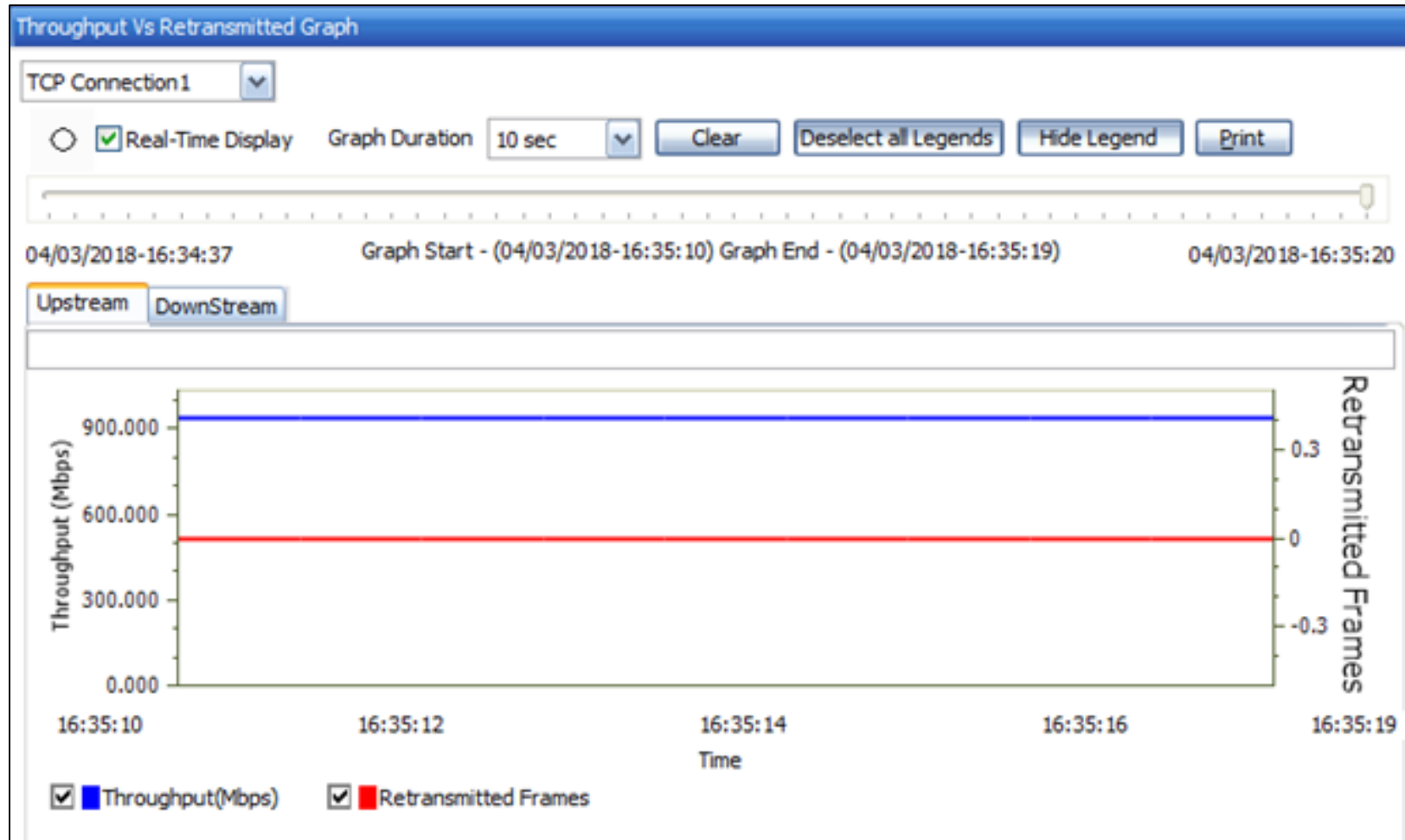
Multiple TCP connections

With 8 TCP connections

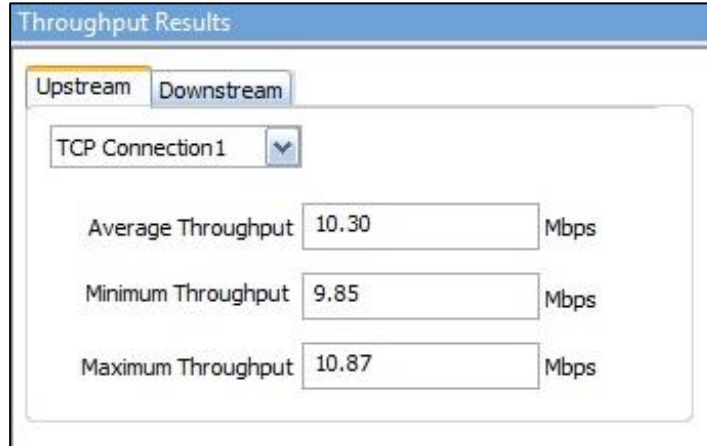Test Parameter Summary

Upstream | Downstream

Baseline RTT	50.022	msec
Calculated BDP	625.274	KBytes
TCP Window	524280	Bytes
Path MTU	1500	Bytes
MSS Used	1448	Bytes
No of TCP Connection	8	
Transfer Size	100.000	MBytes

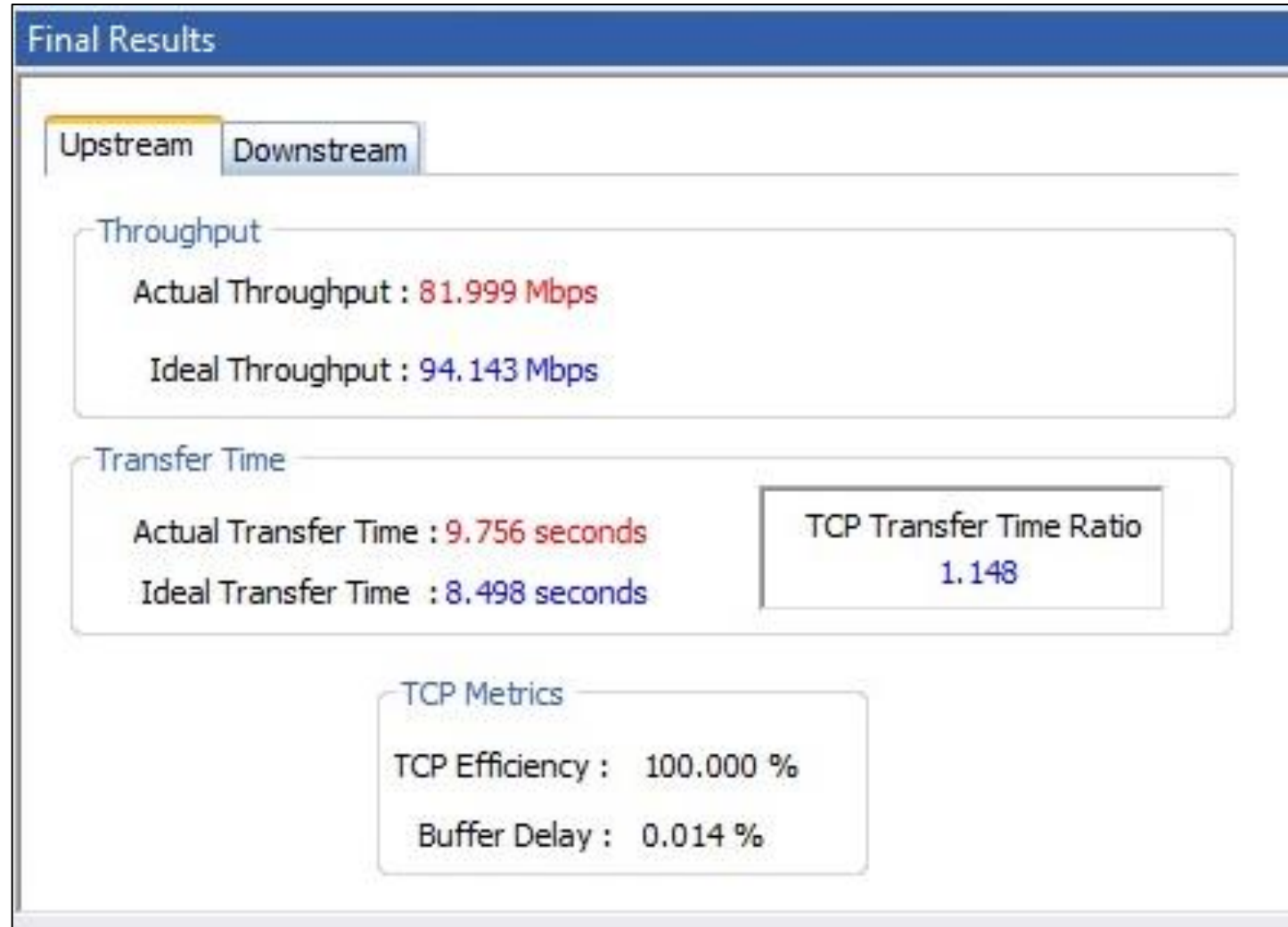TCP window of 5,24,280 bytes shared among 8 connections

Multiple TCP Connections - Throughput

Individual Throughput for each connection
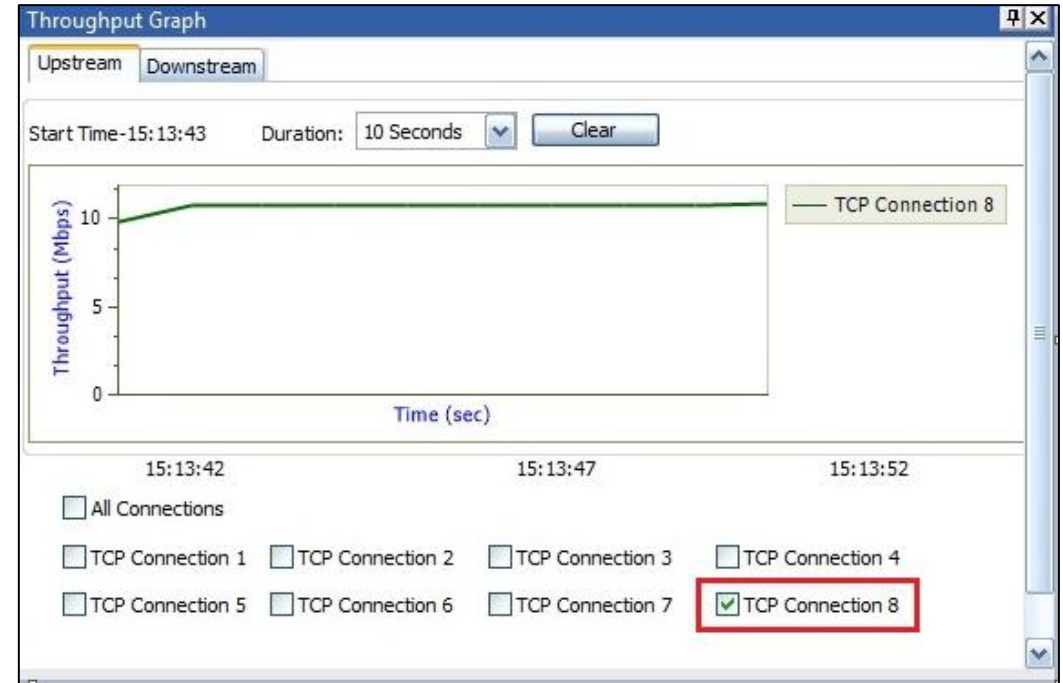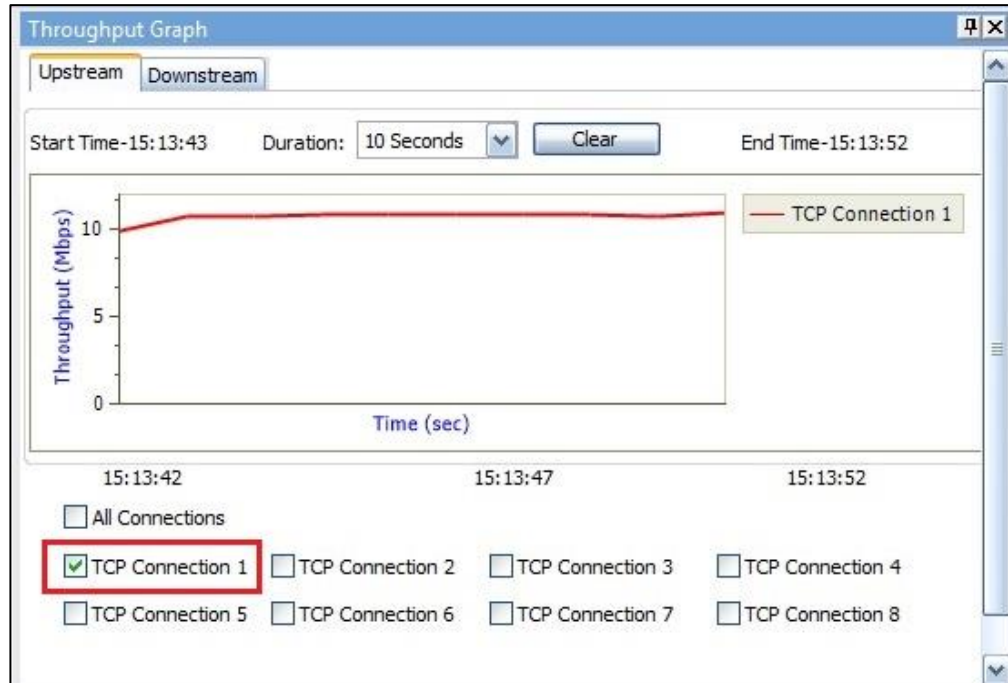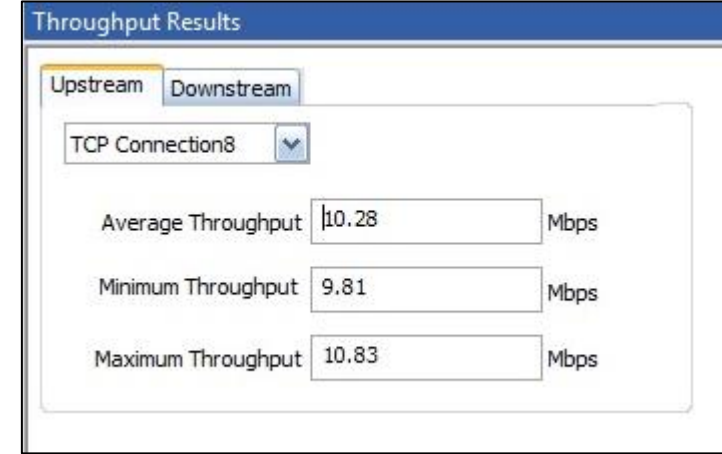
Multiple TCP Connections - Result

Improved Overall Throughput

Thank you